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8 office at 495 East Java Drive, Sunnyvale, CA 94089.
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10 Title of the Invention
11

12 Flexible Disabling of Disk Sets
13

14 Incorporated Disclosure
15

16 The invention described herein can be used in conjunction with the inven-
17 tion described in the following application:
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19 Application Serial No. 08/071,643, filed in the name of David Hitz et. al.,
20 titled "Write Anywhere File-System Layout," Express Mailing number RB962032214US,
21 filed June 3, 1993, attorney docket number 103.1002.01.
22

Background of the Invention

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3 1. *Field of the Invention*

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5 This invention relates to RAID subsystems.

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7 2. *Related Art*

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Redundant Array of Independent Disks (RAID) is a popular method for information storage. RAID comes in several configurations that offer advantages over using a single storage device (such as faster data transfers and an error recovery methodology).

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16 large complex systems. As a RAID system grows, the location of its component parts can
17 become fragmented. Location fragmentation can make administration and maintenance
18 of a system troublesome when, for example, each disk in a RAID group is located in a
19 different rack or a different room.

1 The obvious solution is to move all the components of a RAID group to one
2 location, such as, a single rack. Generally, this requires taking the RAID or some portion
3 of it off-line which is rarely an option.

4
5 A first known method that allows a disk to be disabled and then reactivated
6 is often used to replace a damaged disk in a RAID group. This is often referred to as hot
7 swapping or hot plugging. Although this method allows a disk to be inactivated and then
8 reactivated, it suffers from a severe disadvantage. When the disk is reactivated, recon-
9 struction of the RAID group data can take several hours, and if another disk in the group
10 fails during this time the entire volume may be lost.

11
12 A second known method uses a change log to track any changes that take
13 place relating to the inactivated disk in its absence. Although this method allows a disk to
14 be inactivated and reactivated, it too suffers from a severe disadvantage. Tracking all
15 the changes that need to be made to the inactivated disk is a very complex operation. The
16 greater the duration between inactivation of a disk and its reactivation, the greater the
17 likelihood that there will be more and more changes necessary. Thus, this technique has
18 only limited value directed toward short term disabling of a disk in a RAID group.

19
20 Accordingly, it would be desirable to provide a technique for flexible dis-
21 abling of disk sets that is not subject to the limitations of the known art.

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Summary of the Invention

The invention provides a method and system for flexible disabling of disk RAID group. In conjunction with the invention detailed in the incorporated VAFXL), the invention allows a disk to be disabled for long periods of time activated without incurring overhead (such as, required reconstruction of a).

Executing the following steps will allow a disk to be disabled. First, if WAFL is currently writing a consistency point, it should be allowed to complete the operation before continuing. Second, the disk to be inactivated is marked as “read-only.” At this point, the disk can be physically removed and the data that would come from the inactivated disk is reconstructed using the remaining disks (reconstruct on read).

15 After a disk has been inactivated, writes continue to the RAID group using
16 the remaining active disks in the group. Most file systems “write in place.” This means
17 that they overwrite old data with new data. WAFL always writes to unallocated file
18 space. According to the invention, files that are edited during inactivation of a drive are
19 written in their entirety to active disks, thus no data reconstruction is required when an
20 inactivated disk is reactivated.

1 Executing the following steps will allow a disk to be reactivated. First, the
2 disk must be physically connected. Second, the disk is marked as “read/write.” At this
3 point, the disk is operating as it was prior to being disabled.

4

5 A parity disk may be disabled in a similar fashion, however, the entire
6 RAID group must be disabled and a mirror RAID group should be used as the read
7 source. A RAID group cannot provide data reliably when its parity disk is inactive.
8 When the disabled parity disk is reactivated, it must be resynchronized with its mirror be-
9 fore it is allowed to resume accepting requests for reading and writing data.

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Brief Description of the Drawings

Figure 1 shows a block diagram of a system for flexible disabling of disk sets.

Figure 2 shows a block diagram of data paths between components in a system for flexible disabling of disk sets.

Figure 3 illustrates a process flow diagram for disk disabling in a method for flexible disabling of disk sets.

1 Figure 4 illustrates a process flow diagram for disk enabling in a method for
2 flexible disabling of disk sets.

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4 Detailed Description of the Preferred Embodiment

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6 In the following description, a preferred embodiment of the invention is de-
7 scribed with regard to preferred process steps and data structures. Those skilled in the art
8 would recognize after perusal of this application that embodiments of the invention can
9 be implemented using one or more general purpose processors or special purpose proces-
10 sors or other circuits adapted to particular process steps and data structures described
11 herein, and that implementation of the process steps and data structures described herein
12 would not require undue experimentation or further invention.

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14 *Lexicography*

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16 The following terms refer or relate to aspects of the invention as described
17 below. The descriptions of general meanings of these terms are not intended to be limit-
18 ing, only illustrative.

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20 o RAID – in general, short for Redundant Array of Independent (or Inexpensive) Disks,
21 a category of disk drives that employ two or more drives in combination for fault tol-
22 erance and performance.

1 o Disk Mirroring – in general, a technique in which data is written to two duplicate
2 disks simultaneously. When using two RAID groups, data written to the first RAID
3 group is also written to the second RAID group. The second RAID group is said to be
4 a “mirror” of the first RAID group.

5
6 As noted above, these descriptions of general meanings of these terms are
7 not intended to be limiting, only illustrative. Other and further applications of the inven-
8 tion, including extensions of these terms and concepts, would be clear to those of ordinary
9 skill in the art after perusing this application. These other and further applications are
10 part of the scope and spirit of the invention, and would be clear to those of ordinary skill
11 in the art, without further invention or undue experimentation.

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13 *System Elements*

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15 Figure 1 shows a block diagram of a system for flexible disabling of disk
16 sets.

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18 A system 100 includes a filer 110, a RAID group 120, and a data link 130.

19
20 The filer 110 includes a processor, a main memory, and software for exe-
21 cuting instructions (not shown, but understood by one skilled in the art). This software
22 preferably includes software for managing a RAID storage system according to the in-

1 vention. Although the filer 110 and the RAID group 120 are shown as separate devices,
2 there is no requirement that they be physically separate.

3

4 The RAID group 120 includes two or more data disks 129 and a parity disk
5 125. For example but without limitation, figure 1 illustrates four data disks 129 labeled
6 data disk a 121, data disk b 122, data disk c 123, and data disk d 124. The parity disk 125
7 includes parity information related to each RAID stripe (not shown, but understood by
8 one skilled in the art). RAID level 4 is used in a preferred embodiment; however, there is
9 no requirement that RAID level 4 be used, and other levels of RAID may also be used.
10 RAID level configurations are well-known in the art.

11

12 The RAID group 120 can include any one of a number of types of storage,
13 including but not limited to, tape drives, hard disk drives, and optical drives. The RAID
14 group 120 may also use these types of drives in various combinations.

15

16 The data link 130 couples the filer 110 to the RAID group 120.

17

18 In a preferred embodiment, the data link 130 includes a direct wired con-
19 nection. In alternative embodiments, the data link 130 may include alternative forms of
20 communication, such as the Internet, an intranet, extranet, virtual private network, wire-
21 less network, or some combination thereof.

22 *System Operation*

1 Figure 2 shows a block diagram of data paths between components in a
2 system for flexible disabling of disk sets.

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4 A system 200 includes a file system 210, a RAID controller 220, and a set
5 of off-line markers 230.

6

7 In a preferred embodiment, the file system 210 includes a WAFL file sys-
8 tem as detailed in the incorporated disclosure.

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10 The RAID controller 220 preferably includes a device capable of routing
11 data to and from the RAID group 120 in accordance to RAID level 4.

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 The set of off-line markers 230 include a set of binary memory addresses.
 Each one of the set of off-line markers 230 is individually associated with a disk in the
 RAID group 120. A bit set for one of the set of off-line markers 230 indicates that the
 associated disk in the RAID group 120 is off-line.

 Data disk b 122 and the parity disk 125 are used below to explain operation
 of the invention. This is intended to be exemplary and not limiting. The invention is ap-
 plicable to any disk or combination of disks in a RAID group 120.

1 *Normal Operation*

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3 Requests for data are sent by the file system 210 to the RAID controller 220

4 which fetches the data from, or sends data to the RAID group. Responses to requests are

5 sent back to the file system 210.

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7 *Data Disk Disabling and Reactivation*

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9 A data disk, such as data disk b 122, may be temporarily disabled. In a pre-

10 ferred embodiment the file system 210 used is a file system 210 implementing WAFL.

11 Upon being notified that data disk b 122 is to be taken off-line, WAFL ensures that if it is

12 in the process of writing a consistency point, the consistency point is written before pro-

13 ceeding. Data disk b 122 may now be marked as being off-line. The bit is set in the off-

14 line marker 230 associated with data disk b 122. At this point the data disk b 122 may be

15 physically disconnected from the system.

16

17 When a data disk is marked as being off-line, the file system 210 recognizes

18 the off-line disk as being read only. Thus, the file system 210 will not attempt to write

19 any data to data disk b 122 since it is marked as off-line. The data for data disk b 122 is

20 still available using a reconstruct on read technique, which is well-known in the art.

21

1 WAFL provides an important benefit over other file systems with regard to
2 disk disabling. WAFL never overwrites existing data like other file systems that utilize
3 “write in place.” Thus, even when disks are reactivated, the file system 210 is guaranteed
4 to be consistent. No catch-up time is needed such as would be required in systems that
5 use “change logs” or reconstruct data on previously disabled disks using parity computa-
6 tion.

7
8 The data disk b 122 is reactivated by first ensuring that it is physically con-
9 nected to the RAID group 120, and second, that its bit in its associated off-line marker
10 230 is cleared. Once this is accomplished, data disk b 122 has both read and write capa-
11 bility again.

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13 *Parity Disk Disabling and Reactivation*

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15 The parity disk 125 may be temporarily disabled, however, when a parity
16 disk 125 is disabled, the entire RAID group 120 must be taken off-line. This means that
17 the RAID group 120 cannot be used even as a read only source for the data. Data may be
18 read from a mirror of the off-line RAID group 120.

19
20 The parity disk 125 is reactivated by first ensuring that the parity disk 125 is
21 physically connected to the RAID group 120. Second, the bit in its associated off-line
22 marker 230 is cleared. This makes the parity disk 125 writeable as well as readable.

1 Third, the RAID group 120 must be synchronized with its mirror. Fourth, the RAID
2 group 120 is reactivated and is now ready to accept requests.

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4 *Disk Disabling*

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6 Figure 3 illustrates a process flow diagram for disk disabling in a method
7 for flexible disabling of disk sets, indicated by general reference character 300. The disk
8 disabling process 300 initiates at a 'start' terminal 301.

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The disk disabling process 300 continues to a 'notify file system' procedure 303 which notifies the file system 210 that a systems operator or the system itself would like to disable a disk in the RAID group 120. For example, a systems operator may want to disable data disk b 122, and thus the file system 210 would be notified that a request has been made to disable the disk.

16 An 'is CP in progress?' decision procedure 305 determines if the file system
17 210 is currently creating a consistency point. If it is determined that the file system 210 is
18 creating a consistency point, the disk disabling process 300 remains in the 'is CP in prog-
19 ress' decision procedure 305, otherwise the disk disabling process 300 continues to an 'is
20 disk a parity disk' decision procedure 307.

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1 The 'is disk a parity disk?' decision procedure 307 determines if the disk to
2 be disabled is the parity disk 125. If it is determined that the disk to be disabled is the
3 parity disk 125, the disk disabling process 300 continues to an 'inactivate RAID group'
4 procedure 311.

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6 A 'mark disk read-only' procedure 309 allows the disk to be marked as read
7 only. This is accomplished by setting the bit for the associated off-line marker 230 for
8 data disk b 122 (see figure 2 "marked as off-line"). At this point the physical unit may be
9 turned off and moved. Data that would be supplied by data disk b 122 if it were still ac-
10 tive is still available by "reconstructing the data on read." That is, data from the remain-
11 ing operational disks may be used to reconstruct data on the disabled data disk b 122.

12 The disk disabling process 300 terminates through an 'end' terminal 313.

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 An 'inactivate RAID group' procedure 311 allows the RAID group 120 to
 be inactivated. The disk disabling process 300 terminates through the 'end' terminal 313.
 A RAID group 120 that has the parity disk 125 disabled cannot function. When the parity
 disk 125 is disabled, the file system 210 must look to a mirror of the disabled RAID
 group 120 for its data.

1 *Disk Enabling*

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3 Prior to starting this process, the disk to be enabled must be physically con-
4 nected to the RAID group 120.
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6 Figure 4 illustrates a process flow diagram for disk enabling in a method for
7 flexible disabling of disk sets, indicated by general reference character 400. The disk
8 enabling process 400 initiates at a 'start' terminal 401.

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10 The disk enabling process 400 continues to an 'is disk a parity disk?' deci-
11 sion procedure 403 that determines whether the disk to be enabled is the parity disk 125.
12 If it is determined that the disk to be enabled is the parity disk 125, then the disk enabling
13 process 400 continues to a 'mark parity disk as read/write' procedure 407, otherwise the
14 disk enabling process 400 continues to a 'mark data disk as read/write' procedure 405.
15

16 The 'mark data disk as read/write' procedure 405 allows the data disk b 122
17 to be marked as read/write. This is accomplished by clearing the bit for the off-line
18 marker 230 associated with data disk b 122. At this point the data disk b 122 is fully op-
19 erational as an integral part of the RAID group 120. The disk enabling process 400 ter-
20 minates through an 'end' terminal 413.
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1 The 'mark parity disk as read/write' procedure 407 allows the parity disk
2 125 to be marked as read/write. This is accomplished by clearing the bit for the off-line
3 marker 230 associated with parity disk 125. At this point the parity disk is only available
4 to the file system 210.

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6 A 'sync with mirror' procedure 409 allows the previously disabled RAID
7 group 120 to synchronize with its mirror. No public access is allowed to the RAID group
8 120 while synchronization is taking place.

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11 A 'reactivate RAID group' procedure 411 allows the RAID group 120 to be
12 reactivated. The disk enabling process 400 terminates through the 'end' terminal 413. At
13 this point the RAID group 120 is available to users of the system.

14 *Generality of the Invention*

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16 The invention has applicability and generality to other aspects of data stor-
17 age on mass storage devices utilizing RAID including filers, caches, databases, and other
18 memory storage systems.

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1 *Alternative Embodiments*

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3 Although preferred embodiments are disclosed herein, many variations are
4 possible which remain within the concept, scope, and spirit of the invention, and these
5 variations would become clear to those skilled in the art after perusal of this application.

